



**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 2998P035

First Inventor Wolf-Dietrich Weber

Title METHOD AND APPARATUS FOR ESTABLISHING A QUALITY OF SERVICE MODEL

Express Mail Label No. EV 336583672 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- | | |
|--|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)
<i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status.
See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification
<i>(preferred arrangement set forth below)</i>
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings <i>(if filed)</i>
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) <i>(35 U.S.C. 113)</i> [Total Sheets 8]</p> <p>5. Oath or Declaration (signed) [Total Pages 4]
 a. <input checked="" type="checkbox"/> Newly executed (original or copy)
 b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))
 <i>(for continuation/divisional with Box 18 completed)</i>
 i. <input type="checkbox"/> DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission
<i>(If applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)
 b. Specification Sequence Listing on:
 i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
 ii. <input type="checkbox"/> paper
 c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney
<i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Application Amended to Reflect Claim of Priority</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
<i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)
<i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Other: <u>Copy of postcard with certificate mailing label showing contents therein</u></p> |
|--|--|

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

of prior application No: _____

Prior application Information: Examiner _____

Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

Customer Number

08791

or Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	(408) 720-8300	Fax (408) 720-8383

Name (Print/Type)	Arlen M. Hartounian	Registration No. (Attorney/Agent)	52,997
Signature		Date	10/31/03

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

1,112.00

Complete if Known

Application Number

Filing Date

First Named Inventor

Wolf-Dietrich Weber

Examiner Name

Group/Art Unit

Attorney Docket No.

2998P035

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None
 Deposit Account

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	770.00
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)			(\$)	770.00	

2. EXTRA CLAIM FEES

Total Claims	32	Extra Claims	Fee from below	Fee Paid
Independent Claims	4	20* = 12	X 18.00 = \$216.00	
Multiple Dependent		3* = 1	X 86.00 = \$86.00	

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple Dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)			(\$)	302.00

**or number previously paid, if greater. For Reissues, see below

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051	65 Surcharge - late filing fee or oath
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet
2053	130	2053	130 Non-English specification
1812	2,520	1812	2,520 For filing a request for ex parte reexamination
1804	920*	1804	920* Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action
1251	110	2251	55 Extension for reply within first month
1252	420	2252	210 Extension for reply within second month
1253	950	2253	475 Extension for reply within third month
1254	1,480	2254	740 Extension for reply within fourth month
1255	1,210	2255	605 Extension for reply within fifth month
1404	330	2401	165 Notice of Appeal
1402	330	2402	165 Filing a brief in support of an appeal
1403	290	2403	145 Request for oral hearing
1451	1,510	2451	1,510 Petition to institute a public use proceeding
1452	110	2452	55 Petition to revive - unavoidable
1453	1,330	2453	665 Petition to revive - unintentional
1501	1,330	2501	665 Utility issue fee (or reissue)
1502	480	2502	240 Design issue fee
1503	640	2503	320 Plant issue fee
1460	130	2460	130 Petitions to the Commissioner
1807	50	1807	50 Prosessing fee under 37 CFR 1.17(q)
1806	180	1806	180 Submission of Information Disclosure Stmt
8021	40	8021	40 Recording each patent assignment per property (times number of properties)
1809	770	1809	385 Filing a submission after final rejection (37 CFR § 1.129(a))
1810	770	2810	385 For each additional invention to be examined (37 CFR § 1.129(b))
1801	770	2801	335 Request for Continued Examination (RCE)
1802	900	1802	900 Request for expedited examination of a design application
Other fee (specify)			
			40.00

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

40.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Arlen M. Hartounian	Registration No. (Attorney/Agent)	52,997	Telephone	(408) 720-8300
Signature				Date	10/30/03

Based on PTO/SB/17 (08-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wtr) 08/11/2003.
SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

EV336583672US

"Express Mail" mailing label number: EV336583672US

Date of Deposit: 10-31-03

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, Virginia 22313-1450

Carla Vignola

(Typed or printed name of person mailing paper or fee)

10/31/03
(Signature of person mailing paper or fee)

(Date signed)

Application No.: _____ Filing Date: _____ BSTZ Docket #: 2998.P035 Atty/Sec: JHS/AMH/cv
Date Mailed: 10/31/2003 Docket Due Date(s): _____ Client: SONICS, INC.
Title METHOD AND APPARATUS FOR ESTABLISHING A QUALITY OF SERVICE MODEL

Inventor(s) Weber

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- | | |
|--|---|
| <input type="checkbox"/> Amendment: (<u> </u> pgs) | <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08 (<u> </u> pgs) |
| <input type="checkbox"/> Appeal Brief & two copies (<u> </u> pgs) | <input type="checkbox"/> Issue Fee Transmittal (original & copy) |
| <input checked="" type="checkbox"/> Application: <u>Utility</u>
(<u>27</u> pages w/ cover & abstract) | <input type="checkbox"/> Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i) |
| <input type="checkbox"/> Application Amended to Reflect Claim of Priority | <input type="checkbox"/> Notice of Appeal |
| <input checked="" type="checkbox"/> Assignment & Cover Sheet (<u> </u> pgs) | <input type="checkbox"/> Petition for: _____ |
| <input type="checkbox"/> Certificate of | <input type="checkbox"/> Request for Continued Examination (RCE) |
| <input type="checkbox"/> Continued Prosecution Application (CPA) | <input type="checkbox"/> Reply Brief (<u> </u> pgs) |
| <input checked="" type="checkbox"/> Declaration & POA (<u>4</u> pgs) (signed) | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request |
| <input checked="" type="checkbox"/> Drawings: <u>8</u> sheets, <u>11</u> figures | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter |
| <input checked="" type="checkbox"/> Express Mail No: <u>EV 336583672 US</u> | <input type="checkbox"/> Terminal Disclaimer |
| <input type="checkbox"/> Extension of Time: _____ | <input type="checkbox"/> Transmittal of Formal Drawings |
| <input checked="" type="checkbox"/> Fee Transmittal (original & copy) | <input type="checkbox"/> Transmittal of Publication Fee Due |
| <input checked="" type="checkbox"/> Other <u>copy of postcard with certificate mailing label showing contents therein.</u> | <input checked="" type="checkbox"/> Transmittal Letter |
| | <input checked="" type="checkbox"/> Check No. <u>61002</u> in the Amount of <u>\$1,112.00</u> |
| SV _____ | <input type="checkbox"/> Check No. _____ in the Amount of _____ |

Assignee: Sonics, Inc.